



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONS

Applicant : Burton L. Levin, et. al.                      Group Art Unit:  
Serial No. : 110/676,278                                      Examiner :  
Filed : September 30, 2003  
Title : CONVERSION OF LIGHT SIGNALS TO AUDIO

INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 CFR §1.98

1600 ODS Tower  
601 S.W. Second Avenue  
Portland, Oregon 97204-3157  
November 14, 2003

Mail Stop Patent Applications (IDS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

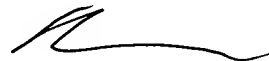
Applicant submits herewith Form PTO-1449 (Modified) listing the prior art references of which applicant is aware and which applicant desires to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith are set forth on the attached Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

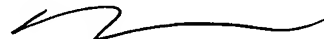


Kevin L. Russell  
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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, P. O. Box 1450, Alexandria, VA., on November 14, 2003.

Dated: November 14, 2003



Kevin L. Russell

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
KLR 7146.0171SERIAL NO.  
10/676,278

APPLICANT

Burton L. Levin, et. al.

FILING DATE  
Sept. 30, 2003

GROUP

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,052,663	April 18, 2000	Kurzweil, et. al.			
	AB	6,076,060	June 13, 2000	Lin, et. al.			
	AC	6,377,928 B1	April 23, 2002	Saxena, et. al.			
	AD	6,408,072 B1	June 18, 2002	Fernandez-Martinez			
	AE	6,476,376 B1	Nov. 5, 2002	Biegelsen, et. al.			
	AF						

FOREIGN PATENT DOCUMENTS

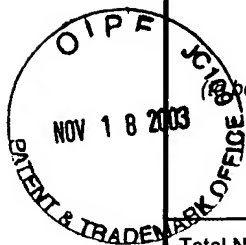
		DOCUMENT NUMBER	DATE FILED	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							

OTHER ART

	CA	
	CB	

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/676,278	
	Filing Date	September 30, 2003	
	First Named Inventor	Burton T. Levin, et. al.	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in this Submission		Attorney Docket Number	KLR:7146.0171

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee transmittal <input type="checkbox"/> Fee attached form	<input type="checkbox"/> Assignment Papers (for an application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment <input type="checkbox"/> After Final/ Response <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing Related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified copy of Priority Documents	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosures (identify below)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	Form 1449 with listed prior art; a duplicate copy of this Transmittal Letter; and an acknowledgment Postcard,
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	
	<input type="checkbox"/> Request for Refund	
Remarks: <i>Commissioner is authorized to charge any additional fee, or credit any overpayment, to Deposit Account No. 03-1550. A duplicate copy of this Transmittal Form is enclosed for purposes of use with Deposit Account No. 03-1550 as stated herein.</i>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT	
Firm or Individual Name	Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP
Signature	
Date	November 19 2003

CERTIFICATE OF MAILING			
I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Mail Stop Patent Applications (IDS), Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450.			
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Type or print name	Kevin L. Russell		
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